TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

CUSTOMER NO. **22,852**

ATTORNEY'S DOCKET NUMBER: 05788.0398

U.S. APPLICATIONINO. (If known see 37 CER 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP2003/012328

INTERNATIONAL FILING DATE November 5, 2003

PRIORITY DATE CLAIMED

TITLE OF INVENTION

TUNEABLE GRATING ASSISTED DIRECTIONAL OPTICAL COUPLER												
APPLICANT(S) FOR DO/EO/US												
1) Daniele Franco Angelo FACCIO; 2) Giacomo Maria GORNI Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:												
	3 3 3 3 3 3 3 3 3 3											
1.	M	This is a FIRST submission of items concerning a filing under 35 U.S.C 371. This is a SECOND or SUBSECUENT submission of items concerning a submission under 35 U.S.C. 371.										
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
3.	Ц	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4.		The US has been elected (Article 31).										
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).										
		a. is attached hereto (required only if not communicated by the International Bureau).										
J		b.		has been communicated by the International Bureau.								
		C.		is not required, as the application was filed with the United States Receiving Office (RO/US).								
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).										
		a.		is attached hereto.								
		b.		has been previously submitted under 35 U.S.C. 154(d)(4).								
7.	\boxtimes	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).										
		a.		are attached hereto (required only if not communicated by the International Bureau).								
		b.		have been communicated by the International Bureau.								
		c.		have not been made; however, the time limit for making such amendments has NOT expired.								
		d.	\boxtimes	have not been made and will not be made.								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).										
9.	\boxtimes	And	oath or	declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).								
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).										
Item	s 11 t	to 20	below	concern document(s) or information included:								
11.	×	Information Disclosure Statement under 37 CFR 1.97 and 1.98										
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.										
13.	\boxtimes	A preliminary amendment, including 21 claims and abstract										
14.		An Application Data Sheet under 37 CFR 1.76.										
15.		A Substitute specification.										
16.		A power of attorney from Assignee document.										
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.										
18.		A second copy of the published International Application under 35 U.S.C. 154 (d)(4).										
19.		A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).										
20.	×	Other items or information:										
		a. 🗵 Copy of cover page of International Publication No. WO 2005/050306 A1.										
		b. Copy of Notification of Missing Requirements.										
L		c. 🛭 European International Search Report										

IAPZOREC'OFSTIPTO 04 MAY 2006

U.S. APPLICATION NO. (if know 10 10 10 10 10 10 10 10 10 10 10 10 10	yn, see 37 CER 1.5)	INTERNATIONAL APPLICATION NO. PCT/EP2003/012328			ATTORNEY'S DOCKET NUMBER 05788.0398					
The following fees h	nave been submitted:				CALCULATIONS	PTO Use				
21. BASIC NATIONAL	FEE (37 CFR 1.492(a)):	\$300.00			\$ 300.00	Only				
22. 🛛 Examination fee (370	Examination fee (37CFR 1.492(c))									
If the Written opinion prepared b by IPEA/US indicates all of All other situations	\$ 200.00									
23. Search fee (37 CFR If the written opinion of the ISA/L IPEA/US indicates all clai Search fee (37 CFR 1.445(a)(2) International Searching A International Search Report prepreviously communicated All other situations	\$ 400.00									
	TOTAL of 2	1, 22 and 23 =			\$ 900.00					
listing in compliance electronic medium)	ion and drawings filed in pape with 37 CFR 1.821(c) or (e) (37 CFR 1.492(j)). each additional 50 sheets of p	or computer program listing in								
Total Sheets	Extra Sheets	Number of each additional thereof (round up to a who		Rate						
39				x \$250.00	\$					
Surcharge of \$130.00 for furnish commencement of the national s	ate of	\$								
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	RATE						
Total Claims	40 - 20 =	20	x \$50.0	x \$50.00						
Independent Claims	1		x \$200.00		\$					
MULTIPLE DEPENDENT CLAIM	\$ 360.00									
	\$2260.00									
Applicant claims small entity	\$									
	\$2260.00									
Processing fee of \$130.00 for fu 1.492(i)).	\$									
141	\$2260.00									
Fee for recording the enclosed a cover sheet (37 CFR 3.28, 3.31)		. The assignment must be ac	companied by an app	oropriate +	\$					
			TOTAL FEES	ENCLOSED =	\$2260.00					
		Amount to be refunded:	\$							
		charged:	\$							
a. A check in the amount	of \$ 2260.00 to cover the	above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charge on this form. Provide	d to a credit card. WARNING credit card information and a	: Information on this form may uthorization on PTO-2038.	y become public. Cre	edit card Infor	mation should not	be included				
NOTE: Where an appropriate to restore the Interna	time limit under 37 CFR 1.4		tion to revive (37 CF	R 1.137(a) or	(b)) must be filed a	nd granted				
SEND ALL CORRESPONDEN	CE TO:		///	2						
Finnegan, Henderson, Farabow, 901 New York Avenue, N.W. Washington, DC 20001-4413	, Garrett & Dunner, L.L.P.	SIGNATU	JRE F. Chapman, Re	a No. 25.9	61					
DATED: May 4, 2006			EGISTRATION NUMI		<u> </u>					